AS BlueOrange Bank | Smilšu iela 6, Rīga, LV-1050, Latvija | Registration No. 40003551060 | SWIFT code: CBBRLV22
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FINANCIAL INSTRUMENT (FI) TRANSACTIONS AGREEMENT

APPLICATION FOR RECEIVING BROKERAGE SERVICES ON THE FI MARKET

1. Client Application

1.1. Client information

Client _____

(For individuals – surname, name, personal code or date of birth; for legal entities – company name, registration No.)

Representative of the Client – for legal entities (if different from the person specified in the Application for Opening, Restoring and Maintaining a Current Account)

_____

(Surname, name, personal code or date of birth; identification document No.)

Current Account No. (IBAN) in AS BlueOrange Bank, hereinafter – the **Bank:** L V _ _ C B B R _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _

LEI Number* _

* For all legal entities

The Client represents that:

☐ They will own all funds on their accounts ☐ Funds on accounts will be held but not owned by the Client

☐ The owner of the funds is _____

The Client hereby requests opening of an Investment Account, Trading Account and FI Account, and provision of investment services to the Client as specified in the Financial Instruments and precious metals transaction terms and conditions. The Client is aware that the Application for receiving brokerage services on the FI market and the FI transaction terms and conditions together constitute the FI transactions agreement (the Agreement) and are integral parts of the Agreement. The Client undertakes to use the FI Account, the Investment Account and the Trading Account solely in accordance with the provisions of the Agreement and to pay the Bank fees for services received in accordance with the provisions of the Agreement and the Pricelist.

1.2. Preferred means of communication and receipt of information/account statements

☐ Internet Bank ☐ E-mail ☐ Phone

2. The Client's Representations

1. The Client represents that they wish to use the investment services provided by the Bank in accordance with the provisions of the Agreement, which the Client undertakes to observe. The Client also represents that, prior to signing the Application for receiving brokerage services on the FI market, they have become acquainted with the Bank's Pricelist, and consent to its provisions.
2. The Client is aware that the investment (brokerage) services will be available to them following receipt of the Application and Questionnaire (for receiving brokerage services on the FI market) and processing of the information specified therein.
3. The Client consents to the Bank's Order execution policy.
4. The Client has internet access, and the Client consents to being notified about their assigned status by e-mail or via the Internet Bank.
5. Prior to conclusion of the Agreement, the Bank has notified the Client about the risk of using means of communication, particularly the kinds of risks inherent to use of electronic mail for exchanging documents and information.
6. The Client consents to the Bank's right to execute the Client's orders outside the regulated market and the multilateral trading system.
7. Prior to signing the Agreement, the Bank has, in accordance with the applicable legislation of the Republic of Latvia, provided the necessary information on provided investment services that may be provided on the basis of the Agreement, and related risks.
8. There are no legal obstacles to conclusion or execution of the Agreement.
9. The source of funds and FIs on the Client's accounts is legal and Client shall not use the Current Account for any illegal transactions, including money laundering or terrorist financing.
10. Prior to filling out the Application for receiving brokerage services on the FI market, the Client has become acquainted with the following documents of the Bank, as well as understands and agrees to their provisions:
    - 10.1. FI transaction terms and conditions;
    - 10.2. Policy for determining client status;
    - 10.3. Policy for prevention of conflicts of interest;
    - 10.4. List of execution venues on which the AS BlueOrange Bank relies primarily during execution of the Client's transactions;
    - 10.5. Information disclosure statement.

3. Signature of the Client (Client's Representative)

Surname, name of the Client (Client's representative) _____ Signature _____

Filled out at _____ Digipass key (S) _____ Date _ _ . _ _ . _ _ _ _ L.S.

(Please specify the key if this document will be signed and sent to the Bank electronically)

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4. Filled in the Bank

FI transactions agreement No. _____

Investment Account No. _

Trading Account No. _

FI Account No. _