

QUESTIONNAIRE FOR AN INTERNATIONAL INDIVIDUAL

FILL IN USING BLOCK CAPITALS!

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CLIENT No.

Dear Client,

To ensure compliance with international standards and regulations for credit institutions, please provide the required information below. AS BlueOrange Bank, hereinafter referred to as the Bank, adheres to regulatory requirements, observes confidentiality, and safeguards your data.

1. Client information

1.1. Surname, name _____ 1.2. Identity code/Date of birth _____

1.3. Place of birth _____ 1.4. Identification document _____
(Country)

1.5. No. _____ 1.6. Issuing authority _____

1.7. Issued on _____ 1.8. Valid until _____ 1.9. Issuing country _____

1.10. Permanent place of residence _____
(street, building No./name, flat, city/municipality, postal code, country)

1.11. Correspondence address
(if different from permanent residence) _____
(street, building No./name, flat, city/municipality, postal code, country)

1.12. Phone No. _____ 1.13. Mobile phone No. _____ 1.14. E-mail _____

1.15. Taxpayer No. _____ 1.16. Primary tax residence country

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1.17. Please substantiate your relation to the primary tax residence country, if different from place of permanent residence _____

1.18. Other tax residencies, if any

Country code	1.19. Please substantiate your relation to each tax residence country specified		
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1.20. Are you a politically exposed person (PEP)*? Yes No

1.21. Are you a U.S. person**? No Yes (Please fill out the U.S. Taxpayer Status Identification Form)

2. Declaration on the beneficial owners***

2.1. I confirm that I am the beneficial owner

2.2. The beneficial owner is another person(s). Please fill out the table below:

2.3. Surname, name									
2.4. Identification data:									
Identity code/Date of birth									
Place of birth (city, country)									
Identification document:									
Document name									
Document No.									
Issue date									
Issuing authority									
Valid until									
Issuing country									
2.5. Taxpayer identification No.									
2.6. Tax residence country code	<table border="1" style="display: inline-table;"><tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr></table>			<table border="1" style="display: inline-table;"><tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr></table>			<table border="1" style="display: inline-table;"><tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr></table>		
2.7. Permanent residence (city, country)									
2.8. Correspondence address (street, building, apartment, city, country, postal code)									
2.9. Phone No.									
2.10. Is a U.S. person** ? (yes - Please fill out the U.S. Taxpayer Status Identification Form for each beneficial owner separately)/ no	<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Yes						
2.11. Is a politically exposed person (PEP)*?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Yes						

3. Client profile

3.1. Occupation:

- 3.1.1. Entrepreneur _____
(Please specify type of business, company name, country and relation)
- 3.1.2. State or municipal official _____
(Please specify the institution, country and your job position)
- 3.1.3. Self-employed person _____
(Please specify the type of business and country of operations)
- 3.1.4. Employee _____
(Please specify the employer, country and job position)
- 3.1.5. Other _____
(Please specify)

4. Purpose of Current Account opening

- 4.1. Savings and spending for personal purposes
- 4.2. Acquisition or sale of real estate
- 4.3. Acquisition or sale of capital shares of enterprise
- 4.4. Investment activities _____
(Please specify)
- 4.5. Other _____
(Please specify)

5. Annual income

- Up to EUR 15,000
 EUR 15,001 – 50,000
 EUR 50,001 – 100,000
 EUR 100,001 – 500,000
 More than EUR 500,000

5.1. Are you planning to have cash transactions?

- No
- Yes _____
(Please specify the amount, currency and payment origin or purpose)

5.2. Do you have accounts with other credit institutions?

- No
- Yes _____
(Please specify names of credit institutions (where required, the Bank may ask the Client to submit account statements from the relevant credit institutions))

6. Origin of the Client's funds

- 6.1. Salary, fees _____
(Please specify source of income: name of employer or client)
- 6.2. Descendant's estate _____
(Please specify type of estate and source of income)
- 6.3. Dividends and interest _____
(Please specify company name and relation, sources of interest payments)
- 6.4. Sale of held financial instruments _____
(Please specify types of financial instruments)
- 6.5. Sale of equity _____
(Please specify name and share of equity sold)
- 6.6. Sale of personal property _____
(Please specify type and location of property)
- 6.7. Income from personal property rental _____
(Please specify type and location of property)
- 6.8. Income from real estate deals _____
(Please specify address and type of real estate)
- 6.9. Other _____
(Please specify)

7. Information about planned transactions on the Current Account

7.1. MONTHLY account turnover:

Client accounts' turnover	Up to EUR 15, 000.00	From EUR 15,001.00 to EUR 100,000.00	EUR 100,001.00 and more (specify sum)
Planned maximum total volume of the incoming payments and maximum volume of a single incoming transaction	<input type="checkbox"/>	<input type="checkbox"/>	_____ EUR
Planned maximum total volume of the outgoing payments and maximum volume of a single outgoing transaction	<input type="checkbox"/>	<input type="checkbox"/>	_____ EUR
Planned maximum volume of cash deposits, including ATM cash deposits	_____ EUR		
Planned maximum volume of cash withdrawals, including ATM cash withdrawals	_____ EUR		

7.2. Source of the first payment:

Currency, amount	Payer	Name of the servicing credit institution	Country of the servicing credit institution	Payment reference

7.3. Information about incoming payments:

- 7.3.1. Salary, remuneration
- 7.3.2. Dividends and interest
- 7.3.3. Income from investment in financial instruments
- 7.3.4. Income from personal property rental
- 7.3.5. Other _____

(Please specify)

Payer		Servicing credit institution		Average planned monthly account balance	
Surname, name/ Name of entity	Country (code)	Name	Country (code)	Currency	Amount

7.4. Information about outgoing payments:

- 7.4.1. Costs for household and personal needs
- 7.4.2. Investments in financial instruments
- 7.4.3. Repayment of credits, loans, lease payments
- 7.4.4. Granting of loans
- 7.4.5. Other _____

(Please specify)

Beneficiary		Beneficiary credit institution		Average planned monthly turnover	
Surname, name/ Name of entity	Country (code)	Name	Country (code)	Currency	Amount

8. Information on cooperation with the Bank

8.1. What Bank products/services are you planning to use?

- 8.1.1. Transfers
- 8.1.2. Payment cards (Planned number of cards – _____)
- 8.1.3. Deposits
- 8.1.4. Currency exchange
- 8.1.5. Trust operations
- 8.1.6. Brokerage services
- 8.1.7. Loans
- 8.1.8. Repo transactions
- 8.1.9. Savings Account
- 8.1.10. Other _____

(Please specify)

8.2. Reason for choosing the Bank:

- 8.2.1. Beneficial conditions of cooperation
- 8.2.2. Recommendations from acquaintances (Please specify the source) _____
- 8.2.3. Individual approach to the Client
- 8.2.4. Other _____

(Please specify)

8.3. Source of information about the Bank:

- 8.3.1. Bank employee (Please specify) _____
- 8.3.2. Regional office employee (Please specify) _____
- 8.3.3. Business partner (Please specify) _____
- 8.3.4. Internet and mass media
- 8.3.5. Conference, seminar
- 8.3.6. Other _____

(Please specify)

9. Confirmation

9.1. I confirm acquaintance with the following definitions and clarifications:

*Politically exposed person (PEP):

- 1) **a person** currently or formerly employed in major public office, including a supreme official of state government, head of a state administrative unit (municipal government), head of government, minister (deputy minister or deputy to the deputy minister, if the relevant country has such a position), state secretary, or other high-level official in government or a state administrative unit (municipal government), parliament deputy or member of an equivalent legislative body, member of the management body (board) of a political party, judge (member of a court institution) in a constitutional court, supreme court or other court instance, member of the board or council of a supreme audit institution, member of the board or council of a central bank, ambassador, charge d'affaires, senior officer of the armed forces, member of the board or council of a state corporation, executive (director, deputy director) or member of the board of an international organisation, or any person holding an equivalent position in such an organisation, within the Republic of Latvia, a member state or third country.
- 2) **PEP family member:** a) Spouse or equivalent. A person is considered an equivalent of a spouse only if the laws of the relevant state provide for such status; b) Child, child of a spouse or equivalent, or their spouse or equivalent; c) Parent, grandparent or grandchild; d) Brother or sister.
- 3) **Close associate of a PEP** – an individual known to have business or other close ties to one of the aforementioned, to be a stockholder or shareholder in the same corporation as one of the aforementioned, or an individual that is the sole owner of a legal entity known to have been established for the actual benefit of one of the aforementioned.

****United States person** – any person meeting at least one of the following criteria: 1) The individual has citizenship or a valid residence permit (Green Card) in the U.S.; 2) The individual's tax residence country is the U.S.; 3) The individual was born in the U.S.; 4) The legal entity has a phone number with a U.S. country code; 5) The legal entity is registered in the U.S.; 6) The residential or correspondence address of the individual is in the U.S. (including a P.O. Box in the U.S.); 7) A Power of Attorney has been issued to an individual/legal entity with an address in the U.S.

*****Beneficial owner** – one or more individuals who ultimately own or control the Client, and/or the individual(s) on whose behalf a transaction or activity is being conducted. A more extensive definition can be found in respective laws and regulations of the Republic of Latvia.

9.2. I am informed that the Bank processes personal data in accordance with applicable personal data protection laws and regulations of the Republic of Latvia and European Union, and the General Terms of Business, and the Personal data processing policy. The purpose of data processing: client due diligence. More information about the Personal data processing policy of the Bank is available here: <https://www.blueorangebank.com/en/information-on-processing-of-personal-data>.

9.3. I confirm that the services provided by the Bank shall not be used for transactions involving illegal activities/funds in violation of sanctions/restrictions imposed by international organisations or states, or any violations of transaction restrictions.

10. Questionnaire filled in by

10.1. Surname, name of the Client _____ 10.2. Signature _____

10.3. Filled out in _____ Date | d d | m m | y y y y |
(Country, city)

11. Representative of the Bank

11.1. Surname, name _____ 11.2. Signature _____

Date | d d | m m | y y y y |